(City)

(State)

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

or cubioct to	STATEMENT OF CHANG

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287
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hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  NOMURA SECURITIES  INTERNATIONAL INC				2. Issuer Name and Ticker or Trading Symbol  MSP Recovery, Inc. [ MSPR ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)      Director X 10% Owner      Officer (give title Other (specify)						
(Last) (First) (Middle) WORLDWIDE PLAZA 309 WEST 49TH STREET				4	Date of Earliest Transaction (Month/Day/Year)     06/01/2022      4. If Amendment, Date of Original Filed (Month/Day/Year)									below) below) 6. Individual or Joint/Group Filing (Check Applicable					
(Street) NEW YORK NY 10019			_   0	07/14/2022									Form filed by One Reporting Person  X Form filed by More than One Reporting Person						
(City)	(S	itate)	(Zip)																
		Ta	able I - No	n-Der	rivati	ive S	ecur	rities A	Acqui	red,	Dis	posed of,	or Bene	ficiall	/ Owned				
1. Title of	Security (Inst	r. 3)		2. Tran Date (Month			Executif any	eemed ution Dat / th/Day/Ye	Co	ransac ode (Ir		4. Securities Disposed Of			5. Amount Securities Beneficial Owned Fo	ly	Form:	: Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
									C	ode	v	Amount	(A) or (D)	Price	Transactio (Instr. 3 ar				, ,
Class A C	Common Sto	ock		06/0	02/20	)22				S		1,370	D	\$1.28	302,4	99(10)	]	D <sup>(1)</sup>	
	Common Sto			<del>                                     </del>	16/20	_			-	X S <sup>(2)</sup>		50,000	A	\$0.000				D <sup>(1)</sup>	
Class A Common Stock				06/16/2022							5	D	\$0.000	_			D <sup>(1)</sup>		
Class A C	Common Sto	ock		1	23/20					S		9,995	D	\$2.43		99(10)		D <sup>(1)</sup>	
			Table II -									osed of, o convertible			Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion Or Exercise (Month/Day/Year)   3A. Deemed Execution Date, if any (Month/Day/Year)   5. Number of Derivative Security   4. Transaction Code (Instr. 8)   5. Securities   5. Secur		vative urities uired or oosed O) (Instr.	Expira	Date Exercisable and priration Date (Instr. 3 and 4)  Date Exercisable and privative Securities (Instr. 3 and 4)				es J Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)					
					Code	v	(A)	(D)	Date Exerci	isable		Expiration Date	Title	Amount or Number of Shares					
Warrant (right to buy)	\$0.0001	06/01/2022			S			75,000	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027 <sup>(3)</sup>	Class A Common Stock	75,000	\$0.9075 <sup>(4)</sup>	202,5	500	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/02/2022			S			25,000	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	25,000	<b>\$1.1</b> <sup>(5)</sup>	177,5	500	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/07/2022			S			24,700	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	24,700	\$1.1311 <sup>(6)</sup>	152,8	800	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/08/2022			S			13,957	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	13,957	\$1.172 <sup>(7)</sup>	138,8	143	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/10/2022			S			39,906	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	39,906	\$1.0803 <sup>(8)</sup>	98,93	37	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/14/2022			S			21,437	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	21,437	\$1.2802 <sup>(9)</sup>	77,50	00	D <sup>(1)</sup>	
Warrant (right to buy)	\$0.0001	06/16/2022			X			50,000	06/02/	′2022 <sup>(1</sup>	0)	05/23/2027	Class A Common Stock	50,000	\$0	27,50	00	D <sup>(1)</sup>	
		Reporting Person* URITIES IN	ΓERNAT	ION/	AL I	<u>NC</u>													
	WIDE PLA		(Midd	le)															
(Street) NEW Y	ORK	NY	1001	.9															

1. Name and Address of Reporting Person*  NOMURA HOLDINGS INC								
(Last)	st) (First) (Middle)							
9-1 NIHONBASHI 1-CHOME								
CHUO-KU								
(Street)								
TOKYO	M0	103-8645						
-								
(City)	(State)	(Zip)						

## **Explanation of Responses:**

- 1. These securities are owned by Nomura Securities International, Inc. ("NSI"). NSI is a wholly owned indirect subsidiary of Nomura Holdings, Inc. which accordingly may be deemed to beneficially own the shares owned by NSI.
- 2. Represents shares withheld in connection with a cashless exercise of warrants to purchase 50,000 shares of Common Stock at an exercise price of \$0.0001 per share.
- 3. Unless earlier redeemed by the Issuer.
- 4. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$0.85 to \$1.02, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth
- 5. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$1.1 to \$1.11, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth herein
- 6. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$1.13 to \$1.14, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth herein
- 7. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$1.17 to \$1.19, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth
- 8. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$1.08 to \$1.1, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth herein.
- 9. The price reported in Column 8 is a weighted average price. These warrants were sold in multiple transactions at prices ranging from \$1.28 to \$1.29, inclusive. The Reporting Persons undertake to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of warrants sold at each separate price within the range set forth herein.
- 10. This Form 4 amendment is being filed to (1) correct the total number of shares of Class A Common Stock beneficially owned by the Reporting Persons following each transaction reported herein, by adding 164,999 shares that were inadvertently omitted from the original Form 4, and (2) correct the Date Exercisable for the reported Warrants with a \$0.0001 strike price.

Nomura Securities International,

Inc. /s/ Fernando Del Puerto,

**Managing Director** 

<u>amir</u> 07/26/2022

07/26/2022

Nomura Holdings, Inc. /s/ Samir Patel, Managing Director

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.